FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL             |           |  |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|--|
| OMD Numbers              | 2225 0207 |  |  |  |  |  |  |  |  |  |
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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|---|---|--|---|---------|--|--|--|----------|---|--------------|---|---|---------------|--|---|--|----------------------|--|---|--|
| 1. Name and Address of Reporting Person*  GANDOLFO JOHN P |   |  |   |         |  | 2. Issuer Name <b>and</b> Ticker or Trading Symbol EYENOVIA, INC. [EYEN] |  |          |   |              |   |   |               |  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner  V Officer (give title Other (specify |  |                      |  |   |  |
|   | ENOVIA, I   | NC.  | (Middle)  |         |  | 3. Date of Earliest Transaction (Month/Day/Year) 11/19/2020              |  |          |   |              |   |   |               |  | below)  | Officer (give title below) Other (below)  Chief Financial Officer  |                      |  | specify   |  |
| 295 MA  | DISON AV  | ENUE, SUITE 2                              | <del>                                     </del>  |         |  | . 5 .  |  |          | /A.A. 11.15                               | <del>-</del> | O to dividual on triat/One on Filters (Obserts 6 - 1) |   |               |  |   |  |                      |  |   |  |
| (Street) NEW YORK NY 10017                                |   |  |   | 4.1     | 4. If Amendment, Date of Original Filed (Month/Day/Year) |  |  |          |   |              |   |   |               | Individual or Joint/Group Filing (Check Applicable Line)      X Form filed by One Reporting Person  Form filed by More than One Reporting Person |   |  |                      |  |   |  |
| (City) (State) (Zip)                                      |   |  |   |         |  |  |  |          |   |              |   |   |               | . 3.3011   |   |  |                      |  |   |  |
|   |   | Tab  | ole I - Nor                                       | -Deriv  | ativ   | e Se   | curiti   | es A     | cquired,                                  | Dis          | osed  | of, oı  | Ben           | eficial  | ly Owned  | l  |                      |  |   |  |
| 1. Title of Security (Instr. 3)  2. Trans Date (Month/I   |   |  |   |         | /Day/Year) i   |  | 2A. Deemed<br>Execution Dat<br>if any<br>(Month/Day/Ye                                 |          | Code (                                    |              | Dispos  | urities Acquired (A<br>sed Of (D) (Instr. 3,  |               |  | Securitie<br>Beneficia  | neficially<br>ned Following  |                      | n: Direct<br>r Indirect<br>istr. 4)                                      | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|   |   |  |   |         |  |  |  |          | Code                                      | v            | Amoun   | t   | (A) or<br>(D) | Price  | Transact  | Transaction(s)<br>(Instr. 3 and 4)   |                      |  | (.1130. 4)  |  |
| Common Stock, par value \$.0001 11/19                     |   |  |   |         | 9/2020   |  |  |          | P   |              | 4,00  | 4,000   |               | \$3.4  | 6,0   | 6,000  |                      | D  |   |  |
|   |   | •  | Table II -  |         |  |  |  |          | quired, D<br>ts, option                   |              |   |   |               |  | Owned   |  |                      |  |   |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)       | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution I<br>if any<br>(Month/Day | Date, T | 4.<br>Transaction<br>Code (Instr.<br>8)                  |  | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |          | 6. Date Exe<br>Expiration I<br>(Month/Day | Date         |   | 7. Title and Amou<br>of Securities<br>Underlying<br>Derivative Securi<br>(Instr. 3 and 4) |               | ecurity  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)   | 9. Numbe<br>derivative<br>Securities<br>Beneficial<br>Owned<br>Following<br>Reported<br>Transactie<br>(Instr. 4) | e<br>es<br>ally<br>g | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4)                             |  |
|   |   |  |   | c       | Code   | v  | (A)  | (D)      | Date<br>Exercisable                       |              | piration<br>te  | Title   | 0             | mount<br>r<br>lumber<br>f Shares   |   |  |                      |  |   |  |
| Stock<br>Option<br>(right to<br>buy)                      | \$2.72  |  |   |         |  |  |  |          | (1)                                       | 06           | /02/2030  | Comn  |               | 14,774   |   | 114,77   | 74                   | D  |   |  |
| Stock<br>Option<br>(right to<br>buy)                      | \$8.72  |  |   |         |  |  |  |          | (2)                                       | 04           | /16/2028  | Comn  |               | 71,200   |   | 71,20  | 0                    | D  |   |  |
| Stock<br>Option<br>(right to<br>buy)                      | \$6.2   |  |   |         |  |  |  |          | (3)                                       | 07           | /24/2028  | Comn  |               | 24,842   |   | 24,84  | 2                    | D  |   |  |
| Stock<br>Option<br>(right to<br>buy)                      | \$3.11  |  |   |         |  |  |  |          | (4)                                       | 08           | /16/2029  | Comn  |               | 53,402   |   | 53,40  | 2                    | D  |   |  |

## **Explanation of Responses:**

- 1. One-third of the option becomes exercisable on June 3, 2021 and the remaining shares underlying the option become exercisable in equal increments on each of the 24 one-month anniversaries thereafter.
- 2. The option became exercisable as to 1,977 shares on May 16, 2018 and becomes exercisable in equal 1,977 share amounts on each of the 35 one-month anniversaries thereafter.
- 3. One-third of the option became exercisable on July 24, 2019 and the remaining shares underlying the option become exercisable in equal increments on each of the 24 one-month anniversaries thereafter.
- 4. One-third of the option became exercisable on August 16, 2020 and the remaining shares underlying the option become exercisable in equal increments on each of the 24 one-month anniversaries thereafter

## Remarks:

/s/ S. Halle Vakani, Attorneyin-Fact \*\* Signature of Reporting Person

11/23/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).