SEC Form 4	
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

	OMB Number:	3235-0287						
	Estimated average burden							
l	hours per response:	0.5						

Instruction 1(b)				nt to Section 16(a) of tion 30(h) of the Inv		es Exchange Act of 1934 Ipany Act of 1940			per response.	0.5
1. Name and Address of Reporting Person <sup>*</sup> Haller Julia A				er Name <b>and</b> Ticke <u>NOVIA, INC</u>	•	•	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner			
1	st) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 11/17/2021							Officer (give title below)	Other below	(specify )
295 MADISON AVENUE, SUITE 2400			4. If An	4. If Amendment, Date of Original Filed (Month/Day/Year)			6. Individual or Joint/Group Filing (Check Applicable Line)			
(Street) NEW YORK	NY	10017					X	Form filed by On Form filed by Mo Person		
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
Date			2. Transaction Date	2A. Deemed Execution Date,	3. Transaction			5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect

	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transa Code ( 8)		Disposed Of (D) (Instr. 3, 4 and 5)			Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock, par value \$.0001	11/17/2021		A		6,963 <sup>(1)</sup>	Α	\$ <mark>0</mark>	8,186	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 1. Title of 7. Title and 9. Number of 11. Nature 3. Transaction 3A. Deemed 5. Number 8. Price of 10. Derivative Security (Instr. 3) Conversion or Exercise Price of Execution Date, if any (Month/Day/Year) Transaction Code (Instr. 8) Amount of Securities Underlying Derivative Security (Instr. 5) Ownership Form: Direct (D) Expiration Date (Month/Day/Year) derivative Securities of Indirect Beneficial Date (Month/Day/Year) Derivative Securities Beneficially Ownership Acquired (A) or Disposed Derivative Security Derivative Security (Instr. 3 and 4) Owned Following or Indirect (I) (Instr. 4) (Instr. 4) Reported of (D) (Instr. 3, 4 and 5) Transaction(s) (Instr. 4) Amount or Number Date Exercisable Expiration Date Shares v (A) (D) Title Code Stock Option Commor 11/17/2021 \$3.59 A 9,653 (2) 11/17/2031 9,653 \$<mark>0</mark> 9.653 D (right to Stock buy)

Explanation of Responses:

1. Represents restricted stock units that fully vest on the earlier of November 17, 2022 or the date of the Issuer's 2022 annual meeting of stockholders, subject to the Reporting Person's continued service on the Issuer's Board of Directors and acceleration upon change in control.

2. The option becomes exercisable on the earlier of November 17, 2022 or the date of the Issuer's 2022 annual meeting of stockholders, subject to the Reporting Person's continued service on the Issuer's Board of Directors and acceleration upon change in control.

<u>/s/ Julia A. Haller</u>	<u>11/2</u>
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\*\* Signature of Reporting Person Date

2/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.