SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>LaBelle Curt H</u>	2. Date of Event Requiring Statement (Month/Day/Year) 01/24/2018							
(Last) (First) (Middle) 501 FIFTH AVENUE,			4. Relationship of Reporting Perso (Check all applicable) X Director X		(Mor	Amendment, Da th/Day/Year)	te of Original Filed	
SUITE 1404			Officer (give title below)	Other (spec below)	Appl	cable Line)	Group Filing (Check	
(Street) NEW YORK NY 10017					X		v One Reporting Person v More than One erson	
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownershi Form: Direc or Indirect (I (Instr. 5)	t (D) (Instr.	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock, par value \$.0001			466,667(1)	I	By P	By Private Medical Equity, Inc.		
Common Stock, par value \$.0001			453,333 ⁽¹⁾	I	By P LLC	By PME Investor Services Eyenovia, LLC		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securiti Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	or Indirect (D) (I) (Instr. 5)		
Stock Option (right to buy)	03/02/2015	03/02/2025	Common Stock	40,000	1.24	D		
Stock Option (right to buy)	(2)	07/07/2027	Common Stock	133,334	1.95	D		
Stock Option (right to buy)	03/23/2015	03/23/2025	Common Stock	280,000(1)	1.24	I	By Private Medical Equity, Inc.	

Explanation of Responses:

1. The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose.

2. The option became exercisable as to 3,704 shares on August 7, 2017, and becomes exercisable in equal 3,704 share amounts on each of the 35 one-month anniversaries thereafter.

<u>/s/ Curt H. LaBelle</u> 01/24/2018

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.